JC10 Rec'd PCT/PTO 0 1 AUG 2005

PTO-1390 (Rev. 07-2005) Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

#### Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 3009040 US01 DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** unknown INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/US01/46841 November 8, 2001 November 8 TITLE OF INVENTION Improved Ophthalmic and Contact Lens Solution Containing Form of Vitamin B APPLICANT(S) FOR DO/EO/US Francis X. Smith Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. c. X is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. X Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). X An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. X\_ A preliminary amendment. 14 K An Application Data Sheet under 37 CFR 1.76. 15. X A substitute specification. 16. X A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

# JC18 Rec'd PCT/PTO 0 1 AUG 2005

PTO-1390 (Rev. 07-2005)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATI	ION NO. (if known,	see 37 CFR 1.5)	PCT/US01/46841		3009040 USO	
	items or informatio					
The follo	owing fees have be	en submitted			CALCULATIONS	PTO USE ONLY
21. X Basic	national fee (37 (	CFR 1.492(a))		\$300	\$ 300.00	
If the written opin	ination fee (37 CF ion prepared by IS US indicates all cla	\$ 200.00				
All other situations					\$ 500.00	
	TOTAL OF 21, 22				1000.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.  Total Sheets  Extra Sheets  Number of each additional 50 or fraction thereof (round up to a whole number)						
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- 100 = Surcharge of \$1: after the date of	30 00 for furnishing	g any of the searc f the national stag	ch fee, examination fee, or the ge (37 CFR 1.492(h)).	e oath or declaration	\$ -0-	
CLAIMS		ER FILED	NUMBER EXTRA	RATE	\$	
Total claims		- 20 =		x \$50	\$-0-	
Independent clai	ims	- 3 <del>=</del>		x \$200	\$ <b>-</b> 0-	
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Applicant cla	aims small entity s	tatus. See 37 CF	R 1.27. Fees above are redu		1 000 00	
				SUBTOTAL =	\$ 1,000.00	
Processing fee	of <b>\$130.00</b> for furni date (37 CFR 1.49	ishing the English	translation later than 30 mor	nths from the earliest +	\$ <del>-</del> 0-	
ciaimed priority	uate (37 CFR 1.45	-(·//·	TOTA	L NATIONAL FEE =	\$ 1,000.00	
Fee for recording	g the enclosed ass	signment (37 CFF CFR 3.28, 3.31).	R 1.21(h)). The assignment m \$40.00 per property	ust be accompanied +	\$ 40.00	·
Бу ал арргорна				FEES ENCLOSED =	\$ 1,040.00	
					Amount to be refunded:	\$
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JC18 R6C approved use though 3/3/2607. OHB 064.022

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l	$\cdot$					
а. 🔲	A check in the amount of \$ to cover the above fees is enclosed.					
ь. 🗓	Please charge my Deposit Account No $50-3010$ in the amount of \$ $1,040.00$ to cover the above fees. A duplicate copy of this sheet is enclosed.					
c. X	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-3010. A duplicate copy of this sheet is enclosed.					
d. 🗀	Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.						
SEND A	ALL CORRESPONDENCE TO:  SIGNATURE  Christopher E. Blank NAME  31,237 REGISTRATION NUMBER					

_6000	MUNICOURT NO.
50	3010
FEE	VALUE
1632	1509
	(400)
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02/17/2006 WALVARAD 00000002 503010 10544150 Sale Ref: 00000002 DAW: 503010 10544150 01 FC:1641 100.00 DA

02/17/2006 WALVARAD 0000000 Sale Ref: 00000001 DAH: 503 01 FC:1642 - 400.00 DA Void Date: 02/17/2006 WALVARAD 02/17/2006 WALVARAD 00000001 503010 01 FC:1642 400.00 CR 10544150

Adjustment Date: 02/17/2006 MALVARAD 08/08/2005 ATRAN1 00000047 503010 10544150 3 FC:1632 500.00 CR

10/544150

PATENT 90337.147501

#### IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Applicant:	Bio-Concept Laboratories	)	Examiner:
PCT International Application No.:	PCT/US01/46841	)	Unknown
International Filing Date:	8 November 2001	) ) )	Art Unit: Unknown
For:	IMPROVED OPHTHALMIC AND CONTACT LENS SOLUTIONS CONTAINING FORMS OF VITAMIN B	) ) )	

#### **EXPRESS MAIL CERTIFICATE**

Commissioner of Patents Washington, DC 20231

**Box: PCT** 

Dear Sir:

Certificate is attached to the Preliminary Amendment (20 pgs) in connection with the above-named PCT application.

"EXPRESS MAIL" Label Number <u>EV097437732US</u>
DATE OF DEPOSIT <u>EV097437732US</u>
6 June 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to Box: PCT, Assistant Commissioner for Patents, Washington, DC 20231.

William M. Hall
(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

CHRISTOPHER E. BLANK

DIRECT DIAL 585.295.4308
DIRECT FAX 585.295.8440
CBLANK@HISCOCKBARCLAY.COM
ALSO ADMITTED IN: MICHIGAN

August 1, 2005

### Via Express Mail ED 277134582 US

Attention PCT Legal Staff Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

International Application

PCT/US01/46841

No

2000 HSBC PLAZA

ROCHESTER / NEW YORK 14604-2404

T 585.325.7570 / F 585.325.5458

November 8, 2001

International Filing Date
Priority Date Claimed

November 8, 2000

Applicant

Francis X. Smith

U.S. Application No.

Unknown

Attorney Docket Number

3009040 US01

Invention:

IMPROVED OPHTHALMIC AND CONTACT LENS SOLUTIONS CONTAINING

FORMS OF VITAMIN B

Applicant herewith submits to the United States Designated/Elected Office the following items and other information under 35 U.S.C. 371:

- ➤ Petition for the Revival of an International Application for patent Designating the U.S. Abandoned Unintentionally Under 37 CFR 1.137(b) (2 Pages);
- > Transmittal Form Concerning A Submission Under 35 U.S.C. 371Utility Application Cover Sheet (3 Pages);
- > Substitute Specification and Claims (11 Pages);
- > Preliminary Amendment submitted in the International case 06/06/2002 (21 pages)
- > Preliminary Amendment to the claims (4 Pages)
- > Application Data Sheet (2 Pages);
- > Declaration and Power of Attorney (3 Pages);
- > Recordation Data Sheet (1 page);
- > Assignment (1 Page);
- > Acknowledgment Postcard.

JC18 Rec'd PCT/PTO 0 1 AUG 2005

Express Mail No.: ED 277134582 US

Commissioner for Patents August 1, 2005 Page 2

10/544150

The Commissioner is hereby authorized to charge the amount of \$2,540.00 (\$1,500.00 Petition for Revival of an International Application for patent Designating the U.S. Abandoned Unintentionally, \$300.00 Basic National fee, \$200.00 Examination Fee, \$500.00 Search Fee, and \$40.00 Assignment Recordation Fee). The Commissioner is hereby further authorized to charge any deficiencies or credit any excess payments to our Deposit Account No. **50-3010**.

Please date stamp and return the attached postcard to indicate your receipt of the application and direct all correspondence in connection with the application to the undersigned at the address noted above.

Very truly yours,

Christopher E. Blank

Reg. No.: 31,237

CEB:AHY: Enclosures

### CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

I herby certify that the following documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope address to Attention PCT Legal Staff, Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 1, 2005.

Transmittal Form Concerning A Submission Under 35 U.S.C. 371Utility Application Cover Sheet (3 Pages);

Petition for the Revival of an International Application for patent Designating the U.S. Abandoned Unintentionally Under 37 CFR 1.137(b) (2 Pages);

Application Data Sheet (2 Pages);

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Substitute Specification and Claims (11 Pages);

Preliminary Amendment submitted in the International case 06/06/2002 (21 pages)

Preliminary Amendment to the claims (4 Pages)

Recordation Data Sheet (1 page);

Assignment (1 Page);

Acknowledgment Postcard.

Andrew H. Yost